

# END OF PHASE 1 MEETING SCHEDULED

## Goals/ Definition

**Gain concurrence with Regulatory Authority on Phase 2 study design.**

**End of Phase 1 Meeting (if needed) with FDA/ other NRAs (if applicable) completed.**

(\*Specific communication requirements of NRAS should be identified upon initiation of the Regulatory Strategy Plan, if pre-IND meeting (or similar NRA meeting) includes a discussion of Phase 2 plans and there is certainty in the path forward/ no protocol adjustments needed based on Phase 1 data / nop regulatory requirement, there may not be a need to conduct a formal End of Phase 1 Meeting with NRAS)

CRITERIA	SAMPLE CONTENT REQUIREMENT	GUIDELINES FOR LEVEL OF DETAIL NEEDED AT EACH GATE
<ul style="list-style-type: none"> <li>▪ Data package necessary for End of Phase 1 meeting completed, endorsed, and submitted to NRA</li> </ul>	<ul style="list-style-type: none"> <li>a) Summary of Phase 1 trial results</li> <li>b) Design and scope of Phase 2 study protocol (including study plans, medical monitoring plans, timelines budgets, if required by NRA)</li> <li>c) Proposed clinical development path (e.g. request to use alternate development/authorization pathways (e.g., conditional approval, accelerated, approval, breakthrough designation, orphan designation) and rationale</li> <li>d) Plans for additional nonclinical studies (if any)</li> <li>e) Plans for pediatric studies (including a timeline for protocol finalization, enrollment, completion, and data analysis, or information to support any planned request for waiver or deferral of pediatric studies)</li> </ul>	<ul style="list-style-type: none"> <li>▪ End of Phase 1 briefing package</li> </ul>
<ul style="list-style-type: none"> <li>▪ End of Phase 1 meeting outcomes summarized and development plan modified (if needed)</li> </ul>	<ul style="list-style-type: none"> <li>a) Meeting minutes developed by sponsor and also received from NRA (if possible) with documentation on agreements achieved and agreed next steps/actions in End of Phase 1 meeting</li> <li>b) Revised Phase 2 study protocol</li> <li>c) Revised plans for nonclinical studies, pediatric studies, etc.</li> </ul>	<ul style="list-style-type: none"> <li>▪ Regulatory responses to questions</li> </ul>