

## Goals/ Definition

Obtain an early read-out on the Phase 3 (if applicable) that may enable acceleration of regulatory submission.

Phase 3 interim analysis completed (preferably by independent committees).

CRITERIA	SAMPLE CONTENT REQUIREMENT	GUIDELINES FOR LEVEL OF DETAIL NEEDED AT EACH GATE
<ul style="list-style-type: none"> <li>Safety assessment completed</li> </ul>	<ul style="list-style-type: none"> <li>a) Rate of severe adverse events (SAEs)</li> </ul>	<ul style="list-style-type: none"> <li>Interim clinical study report</li> </ul>
<ul style="list-style-type: none"> <li>Efficacy assessment completed</li> </ul>	<ul style="list-style-type: none"> <li>a) Statistically significant efficacy</li> </ul>	<ul style="list-style-type: none"> <li>As above</li> </ul>
<ul style="list-style-type: none"> <li>Futility assessment (inability of trial to meet its objectives) completed</li> </ul>	<ul style="list-style-type: none"> <li>a) Futility of trial effects (unlikely to achieve statistical significant efficacy)</li> <li>b) Operational futility (i.e., poor execution, lack of adequate resources, low adherence, poor quality of data)</li> </ul>	<ul style="list-style-type: none"> <li>As above</li> </ul>
<ul style="list-style-type: none"> <li>Clinical trial strategy adjustment (if needed)</li> </ul>	<ul style="list-style-type: none"> <li>a) Sample size re-adjustment</li> <li>b) Additional testing requirements</li> </ul>	<ul style="list-style-type: none"> <li>Updated IPDP</li> </ul>
<ul style="list-style-type: none"> <li>TPP achievement assessed</li> </ul>	<ul style="list-style-type: none"> <li>a) Probability assessment of whether candidate will meet target product profile</li> </ul>	<ul style="list-style-type: none"> <li>Use cTPP template</li> </ul>